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Donald R. Banowit lackman S. Weaver Vincent L. Capuano Albert J. Fasulo II\* Eldora Ellison Floyd

Thomas C. Fiala Brian J. Del Buono Virgil Lee Beaston Reginald D. Lucas A. Wood Joseph S. Ostroff Frank R. Cottingham\* Christine M. Lhulier Rae Lynn Prengaman Jane Shershenovich\* Registered Patent Agents Karen R. Markowicz Andrea J. Kamage J. Leith Summerfield Helene C. Carlson rvan I. Skelton Robert A. Schwartzman Jason D. Eisenberg John J. Figueroa

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- \*Admitted only in Maryland \*Admitted only in Virginia \*Admitted only in Texas \*Practice Limited to

September 25, 2002

WRITER'S DIRECT NUMBER: (202) 371-2543 **INTERNET ADDRESS:** AKAMAGE@SKGF.COM

Commissioner for Patents Washington, D.C. 20231

Art Unit 1641

Re:

U.S. Utility Patent Application

Appl. No. 09/977,283; Filed: October 16, 2001

Composition and Method For Enhancing Fibrinolysis For:

Inventor:

Guy L. Reed

Our Ref:

0609.4320003/JAG/AJK

TECH CENTER 1600/2900

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. Form PTO-1449, 40 pages, listing 168 documents;
- 3. One copy of each of the 168 cited documents on Form PTO-1449; and
- 4. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents September 25, 2002 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

andrea do Kamage

Andrea Jo Kamage

Agent for Applicants

Registration No. 43,703

JAG/AJK/jk Enclosures

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Guy L. Reed

Appl. No. 09/977,283

Filed: October 16, 2001

For:

**Composition and Method For** 

**Enhancing Fibrinolysis** 

Confirmation No. 2070

Art Unit: 1641

Examiner: To Be Assigned

Atty. Docket: 0609.4320003/JAG/AJK

#### **Information Disclosure Statement**

Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. A copy of each document is provided.

The Examiner's attention is directed to the following co-pending U.S. Patent Application, which is directed to related technical subject matter: U.S. Patent Application No. 08/934,000, filed September 19, 1997, and submitted herewith as Document AS40.

The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to this application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.

In accordance with 37 C.F.R. § 1.98(a)(3), Applicants' undersigned representative submits the following, in regards to non-English language documents AT38 and AP3 cited on Form PTO 1449:

Document AT38 is in a foreign language. An English language translation of document AT38 is attached as document AT39.

Document AP3 is in a foreign language. An English language abstract of document AP3 is attached as document AS39. Document AP3 appears to show a monoclonal antibody which binds to  $\alpha$ 2-plasmin-inhibitors and inhibits the fibrinolysis inhibitory action of  $\alpha$ 2-plasmin-inhibitor.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

andrea Jokamage

Andrea Jo Kamage Agent for Applicant

Registration No. 43,703

Date: 9/25/02

1100 New York Avenue, N.W. Suite 600 Washington, D.C. 20005-3934 (202) 371-2600

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communication to Applicant.

TECH CENTER 1600/2900 APPLICATION NO. ATTY. DOCKET NO. 0609.4320003/JAG/AJK 09/977,283 FORM PTO-1449 APPLICANT Reed INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP October 16, 2001 1641 U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUB-FILING DATE TNTTTAL CLASS AA1 4,147,765 04/03/1979 Stephan et al. 424 12 07/16/1976 AB1 4,198,335 04/15/1980 Collen 260 112 03/08/1977 4,245,040 01/13/1981 Pilgeram 435 13 11/20/1978 AC1 AD1 4,273,873 06/16/1981 Sugitachi et al. 435 180 10/25/1977 08/24/1982 Collen 260 112 01/22/1979 AE1 4,346,029 AF1 4,368,149 01/11/1983 Masuho et al. 260 112 12/16/1981 260 112 AG1 4,414,148 11/08/1983 Jansen et al. 04/14/1982 12/20/1983 Haber et al. 424 1.1 04/17/1980 AH1 4,421,735 AI1 4,455,290 06/19/1984 424 1.1 04/02/1981 Olexa et al. Auditore-Hargreaves 260 112 10/04/1983 AJ1 4,470,925 09/11/1984 Reading AK1 4,474,893 10/02/1984 436 547 07/01/1981 FOREIGN PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION CLASS AL1 EP 0 063 002 A2 10/20/1982 Europe No Yes AM1 EP 0 088 994 A2 09/21/1983 Europe No Yes AN1 WO 83/03678 A1 10/27/1983 PCT No Yes WO 83/03679 A1 10/27/1983 PCT A01 No Yes No AP1 WO 83/03971 A1 11/24/1983 PCT OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Agnelli, G., et al., "The Comparative Effects of Recombinant Hirudin (CGP 39393) AR and Standard Heparin on Thrombus Growth in Rabbits," Thromb. Haemost. 63:204-207, 1 F.K. Schattauer Verlagsgesellschaft mbH (1990). Agnelli, G., et al., " A Comparison of the thrombolytic and hemorrhagic effects AS of tissue-type plasminogen activator and streptokinase in rabbits, " Circulation 1 72:178-182, American Heart Association, Inc. (1985). Agnelli, G., et al., "Sustained Thrombolysis With DNA-Recombinant Tissue Type AΤ Plasminogen Activator in Rabbits," Blood 66:399-401, Grune and Stratton, Inc. 1 EXAMINER DATE CONSIDERED EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next

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	AA2	4,5	45,988	10/08/1985	Nakayama et a	1. 4:	24	94	12/18/1984	
	AB2	4,6	71,958	06/09/1987	Rodwell et al	. 4:	24	85	03/09/1982	
	AC2	4,6	73,573	06/16/1987	Ferres et al.	4:	24	94	01/09/1985	
	AD2	4,7	22,903	02/02/1988	Kudryk et al.	4:	35	7	01/03/1984	
	AE2	4,7	58,524	07/19/1988	Bundesen et al	1. 4:	36	548	03/15/1984	
	AF2	4,8	33,085	05/23/1989	Schaumann et a	al. 4:	35	240.27	08/13/1986	
	AG2	4,9	16,070	04/10/1990	Matsueda <i>et a</i>	1. 4:	35	172.2	04/14/1986	
	AH2	4,9	27,916	05/22/1990	Matsueda et a	1. 5:	30	387	01/30/1986	
	AI2	5,1	16,613	05/26/1992	Haber et al.	4:	24	85.8	01/08/1985	
	AJ2	5,3	72,812	12/13/1994	Reed et al.	4:	24	145.1	09/10/1992	
	AK2	1	82,862	12/10/1996	Reed et al.	4:	24	145.1	12/01/1992	
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	AL2	AU-1	B-25387/84	09/20/198	4 Australia				Yes No	
	AM2	EP	0 120 694 A2	10/03/198	4 Europe				Yes No	
	AN2	EP	0 125 023 A1	11/14/198	4 Europe				Yes No	
	A02	WO	85/00974 A1	03/14/198	5 PCT				Yes No	
	AP2	EP	0 142 905 A2	05/29/198	5 Europe				Yes No	
			OTHER (Includin	ng Author, T	itle, Date, Pert	inent Pages,	etc.)			
	AR	2		n," Chem. Ab	e plasminogen ac stracts 104:307-					
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TECH CENTER 1600/2900 Page 3 of 40 APPLICATION NO. ATTY. DOCKET NO. 0609.4320003/JAG/AJK 09/977,283 FORM PTO-1449 APPLICANT Reed INFORMATION DISCLOSURE STATEMENT FILING DATE GROUP October 16, 2001 1641 U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUB-FILING DATE INITIAL CLASS 133.1 06/07/1995 12/17/1996 424 AA3 5,585,089 Queen et al. 530 388.1 07/16/1996 AB3 11/03/1998 Reed et al. 5,831,031 530 387.3 09/19/1997 AC3 6,114,506 09/05/2000 Reed et al. 08/28/2001 Reed et al. 424 141.1 11/24/1997 AD3 6,280,730 B1 ΑE AF AG AΗ ΑI ΑJ ΑK FOREIGN PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER DATE COUNTRY CLASS SUB-TRANSLATION CLASS Yes AL3 EP 0 146 050 A2 06/26/1985 Europe No Yes 10/23/1985 EP 0 159 025 A2 Europe No Yes 03/13/1986 AN3 WO 86/01533 A1 PCT No Yes AO3 EP 0 187 658 A2 07/16/1986 Europe No Yes AP3 JP 61-268630 11/28/1986 Japan Х No OTHER (Including Author, Title, Date, Pertinent Pages, etc.) Bode, C., et al., "Conjugation to Antifibrin Fab' Enhances Fibrinolytic Potency of Single-Chain Urokinase Plasminogen Activator," Circulation 81:1974-1980, AR <u>3</u> American Heart Association, Inc. (1990). Bode, C., et al., "Antibody-Directed Urokinase: A Specific Fibrinolytic Agent," AS <u>3</u> Science 229:765-767, American Association for the Advancement of Science (1985). Bode, C., et al., "Thrombolysis by a Fibrin-specific Antibody Fab'-Urokinase ΑТ <u>3</u> Conjugate, " J. Mol. Cell. Cardiol. 19:335-341, Academic Press, Inc. (1987).

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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Page 4 of 40

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 0609.4320003/JAG/AJK	APPLICATION NO. 09/977,283
APPLICANT Reed	
FILING DATE October 16, 2001	GROUP 1641

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	AL4	MO	87/05934 A1	10/08/1987	WIPO			Yes No
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	AN4	wo	88/01649 A1	03/10/1988	WIPO			Yes No
	A04	WO	88/03559 A1	05/19/1988	WIPO			Yes No
	AP4	EP	0 271 227 A2	06/15/1988	Europe			Yes No
			OTHER (Includi	ng Author, Ti	tle, Date, Pertinent	Pages, etc.)		
	AR	<u>4</u>	Fibrin and Ti	ssue Plasmino	y-directed Fibrinolys gen Activator," <i>J. Bi</i> nd Molecular Biology,	ol. Chem. 26	4:944-948	
	AS	<u>4</u>	Boulianne, G.L., et al., "Production of functional chimaeric mouse/human antibody," Nature 312:643-646, Macmillan Journals Ltd. (1984).					
	АТ	<u>4</u>	Cell Fusion I	ncrease the P	Bispecific Monoclonal otency of Tissue Plas Schattauer Verlagsges	minogen Acti	vator," T	hromb.
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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 0609.4320003/JAG/AJK	APPLICATION NO. 09/977,283
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	AM5	wo	89/09817 A1	10/19/1989	WIPO			No
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	AR	<u>5</u>	Brennan, M., o	et al., "Preparent of Monoclona	aration of Bispecific Ar l Immunoglobulin G <sub>1</sub> Frag he Advancement of Scienc	ntibodies l		
	AS	<u>5</u>	Butte, A.N., et al., " $\alpha_2$ -Antiplasmin Causes Thrombi to Resist Fibrinolysis Induced by Tissue Plasminogen Activator in Experimental Pulmonary Embolism," Circulation 95:1886-1891, American Heart Association, Inc. (April 1997).					
	AT	51	Monoclonal Ant Radioimmunothe	tibodies and lerapy, Burchie	, "Radioimmunodetection Fab Fragments," in: Radi el, S. and Rhodes, B., e erdam, The Netherlands,	ioimmunoima eds., Elsev	aging and vier Scien	ıce
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FORM PTO-1449 APPLICANT

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	AT	<u>6</u>	Tissue-Type a	nd Urokinase	ombolytic and Pharma e-Type Plasminogen A on, Inc. (1991).			
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ATTY. DOCKET NO. 0609.4320003/JAG/AJK APPLICATION NO. 09/977,283

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INFORMATION DISCLOSURE STATEMENT

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FORM PTO-1449

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	AS	<u>8</u>	Vein Thrombos Growth Factor	Emeis, J.J. and Verheijen, J.H., "Thrombolytic Properties in a Rabbit Jugular Vein Thrombosis Model of a Tissue-type Plasminogen Activator Mutant Lacking the Growth Factor- and Kringle One-Domains," ArzneimForsch./Drug Res. 42:358-362, Editio Cantor Verlag für Medizin und Naturwissenschaften GmbH (1992).					
	AT	<u>8</u>	Plasminogen A	ctivator Stru	tion and Characterization ctural Gene Including It rican Association of Bio	s 5' Flanl	king Regio	n," J. Biol.	
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INFORMATION DISCLOSURE STATEMENT

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	AS	<u>9</u>	Gold, H.K., et al., "Acute coronary reocclusion after thrombolysis with recombinant human tissue-type plasminogen activator: prevention by a mainteinfusion," Circulation 73:347-352, American Heart Association, Inc. (Februal 1986).					
	AT	9	Haber, E., et Acad. Sci. 66	<i>al.</i> , "Antib 7:365-381, N	ody Targeting as a ew York Academy of	Thrombolytic Sciences (199	Strategy," 2).	Ann. N.Y.
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FORM PTO-1449

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Page 11 of 40

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

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TECH CENTER 1600/2900 Page 13 of 40

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

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	AS	<u>13</u>	Kato, K., et Abstracts 94:	al., "A speci 325, Abstract	fic immunoassay system : No. 61048j, American Cl	for hybrid nemical So	type anti ciety (198	gens," Chem. 1).
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ATTY. DOCKET NO. 0609.4320003/JAG/AJK	APPLICATION NO. 09/977,283
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# TECH CENTER 1600/2900 Page 17 of 40

FORM PTO-1449

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TECH CENTER 1600/2900 18 of 40

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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

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## **TECH CENTER 1600/2900**

Page 20 of 40

FORM PTO-1449

#### INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO. 0609.4320003/JAG/AJK	APPLICATION NO. 09/977,283
APPLICANT Reed	
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# **TECH CENTER 1600/2900**

Page 21 of 40

FORM PTO-1449

#### INFORMATION DISCLOSURE STATEMENT

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FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

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Page 26 of 40

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	AS	27	Reed, G.L., e Antiplasmin,"	t al., "Accelo Trans. Assoc	eration of Plasma Clot 1 . Am. Phys. 101:250-256	Lysis by a	n Antibod Press (19	y to α <sub>2</sub> - 38).
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TECH CENTER 1600/2900 Page 33 of 40

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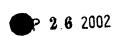
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Page 34 of 40

FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

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FORM PTO-1449

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Page 39 of 40

FORM PTO-1449

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